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APPLICATION NO.	, FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/816,653 03/23/2001		Dianc Pennica	10716-57/CURA233/GN1885R1 6857	
23552 7:	590 05/25/2005	EXAMINER		INER
MERCHANT P.O. BOX 2903	& GOULD PC		NICKOL,	GARY B
MINNEAPOLIS, MN 55402-0903			ART UNIT	PAPER NUMBER
			1642	
			DATE MAILED, OCHCHOO	_

DATE MAILED: 05/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Advisory Action Before the Filing of an Appeal Brief

Application No.		Applicant(s)	
09/816,653		PENNICA ET AL.	
	Examiner	Art Unit	

The MAILING DATE of this communication appe	ars on the cover sheet with the c	correspondence address
THE REPLY FILED <u>25 April 2005</u> FAILS TO PLACE THIS APF	PLICATION IN CONDITION FOR A	LLOWANCE.
<ol> <li>The reply was filed after a final rejection, but prior to or of this application, applicant must timely file one of the folloplaces the application in condition for allowance; (2) a No. (3) a Request for Continued Examination (RCE) in completion following time periods:</li> </ol>	wing replies: (1) an amendment, a otice of Appeal (with appeal fee) in	ffidavit, or other evidence, which compliance with 37 CFR 41.31; or
a) The period for reply expiresmonths from the mailing of	late of the final rejection.	
b) The period for reply expires on: (1) the mailing date of this Adv event, however, will the statutory period for reply expire later the		
Examiner Note: If box 1 is checked, check either box (a) or (b). MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f)	).	
Extensions of time may be obtained under 37 CFR 1.136(a). The date on been filed is the date for purposes of determining the period of extension a CFR 1.17(a) is calculated from: (1) the expiration date of the shortened sta above, if checked. Any reply received by the Office later than three months earned patent term adjustment. See 37 CFR 1.704(b).  NOTICE OF APPEAL	and the corresponding amount of the fee. atutory period for reply originally set in the	The appropriate extension fee under 37 final Office action; or (2) as set forth in (b)
2. The Notice of Appeal was filed on A brief in come of filing the Notice of Appeal (37 CFR 41.37(a)), or any e Since a Notice of Appeal has been filed, any reply must be AMENDMENTS	xtension thereof (37 CFR 41.37(e)	), to avoid dismissal of the appeal.
	hut make to the date of filling a bail	f will not be entered because
<ol> <li>The proposed amendment(s) filed after a final rejection,</li> <li>They raise new issues that would require further co</li> <li>They raise the issue of new matter (see NOTE below)</li> </ol>	nsideration and/or search (see NO	
(c) They are not deemed to place the application in being appeal; and/or	tter form for appeal by materially re	educing or simplifying the issues for
(d) ☐ They present additional claims without canceling a	corresponding number of finally re	jected claims.
NOTE: See Continuation Sheet. (See 37 CFR 1.1	16 and 41.33(a)).	
4. The amendments are not in compliance with 37 CFR 1.15. Applicant's reply has overcome the following rejection(s		ompliant Amendment (PTOL-324).
Newly proposed or amended claim(s) would be a the non-allowable claim(s).	, <u> </u>	, timely filed amendment canceling
7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows:		vill be entered and an explanation of
Claim(s) allowed:		
Claim(s) objected to:		
Claim(s) rejected: <u>1-3 and 36-49</u> .		
Claim(s) withdrawn from consideration:		
AFFIDAVIT OR OTHER EVIDENCE		
<ol> <li>The affidavit or other evidence filed after a final action, because applicant failed to provide a showing of good an and was not earlier presented. See 37 CFR 1.116(e).</li> </ol>		
9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necessar	overcome <u>all</u> rejections under appe	al and/or appellant fails to provide a
10. ☐ The affidavit or other evidence is entered. An explanation REQUEST FOR RECONSIDERATION/OTHER	on of the status of the claims after o	entry is below or attached.
11. The request for reconsideration has been considered bu See Attached.	it does NOT place the application i	n condition for allowance because:
12. Note the attached Information Disclosure Statement(s).	(PTO/SB/08 or PTO-1449) Paper	No(s)
13. Other:		
<del></del>	Gang Borneto	Gary B. Nickol Ph.D.
	GARY B. NECKOL, PH.D.	Primary Examiner

PRIMARY EXAMINER

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## Response to Arguments

Claims 1, 3 and 36-49 remain rejected under 35 U.S.C. 101 and 35 U.S.C. 112, first paragraph (enablement), because the claimed invention is not supported by either a specific asserted utility or a well established utility for the reasons of record (Actions mailed 03/17/2003 11/14/2003 and 02-24-2005. Applicants appear to reiterate their previous rejections; i.e. (1) that it was known in the art that the Wnt signal transduction pathway was involved in human cancer, (2) that upregulation of STRA6 in Wnt-1 expressing cells reasonably demonstrates STRA6 as a candidate gene for diagnosis and therapeutic use in Wnt related cancer. These arguments have been carefully considered but are not found persuasive for the reasons of record. With regards to the argument that expression levels of mRNA do not correlate nor predict equivalent levels of polypeptide expression, applicants argue (Response, page 8) that the examiner failed to present references relating to the Wnt pathway and that how proteins other than those in the Wnt pathway are expressed is immaterial to the present application. This argument has been considered but is not found persuasive as the references underscore what is generally accepted in the art; control of gene expression can occur at multiple stages and production of RNA cannot inevitably be equated with production of protein. Thus, all of applicant's arguments have been carefully considered but are not found persuasive to remove the rejections under 35 U.S.C. 101 and 35 U.S.C. 112, first paragraph (enablement).

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Claims 1, 36-38, and 40-42 remain rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention for the reasons of record. Applicant's arguments have been carefully considered but are not found persuasive for the reasons of record in the Action mailed 11-14-2003.

Claim 47 remains rejected under 35 USC 112, first paragraph, as the specification does not contain a written description of the claimed invention drawn to "yellow fluorescent protein". As set forth previously, there does not appear to be support for the term "yellow fluorescent protein". Applicants proposed amendment would obviate this rejection.

Claim 1 remains rejected under 35 U.S.C. 102(e) as being anticipated (for the the reasons of record) by any one of the following:

- (1) Pennica et al. US Patent Application No: 20020156252A1, Prior Filing Date: 01-13-2000.
- (2) Pennica et al. US Patent Application No: 20020173461A1, Prior Filing Date: 01-13-2000.
- (3) Baker et al. US Patent Application No: 20030149239A1, Prior Filing Dates: 1997, 1998.
- (4) Baker et al. US Patent Application No: 20030187201A1, Prior Filing Dates: 1997, 1998, 1999.

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(5) Baker et al. US Patent Application No: 20030187202A1, Prior Filing Dates: 1997, 1998.

(6) Baker et al. US Patent Application No: 20030187203A1, Prior Filing Dates: 1997, 1998.

Applicants have addressed their arguments to the proposed amendments. However, because said amendments were not entered the rejection is maintained.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gary B. Nickol Ph.D. whose telephone number is 571-272-0835. The examiner can normally be reached on M-Th, 8:30-5:30; alternate Fri., 8:30-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Siew can be reached on 571-272-0787. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Manysmiker

GARY B. NICKOL, PH.D. PRIMARY EXAMENER Gary B. Nickol Ph.D. Primary Examiner Art Unit 1642

GBN

### Application No.

#### Continuation Sheet (PTOL-303)

Continuation of 3. NOTE: The suggested amendment to Claim 40 "wherein the polypeptide comprises one or more membrane spanning domains" would require further searching as it includes new parameters for consideration. Further, the suggested amendement appears to require further considerations under 35 USC 112 first paragraph. Although applicants point to page 14 of the specification for support, it does not appear that the subject matter is fully disclosed as the specification only recites having "potentially 7-8 membrane spanning domains".